# Local Government OMBUDSMAN

# The Local Government Ombudsman's Annual Letter Ryedale District Council for the year ended 31 March 2008

The Local Government Ombudsman (LGO) provides a free, independent and impartial service. We consider complaints about the administrative actions of councils and some other authorities. We cannot question what a council has done simply because someone does not agree with it. If we find something has gone wrong, such as poor service, service failure, delay or bad advice, and that a person has suffered as a result, the Ombudsmen aim to get it put right by recommending a suitable remedy. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

## Annual Letter 2007/08 - Introduction

This annual letter provides a summary of the complaints received about Ryedale District Council and comments on the authority's performance and complaint-handling arrangements.

I hope that the letter will assist you in improving services by providing a useful perspective on how some people who are dissatisfied experience or perceive your services .

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

# Complaints received

During the year I received only 2 complaints against the Council. As I said last year [with reference to a total of 5 complaints] I can draw no meaningful conclusions from these statistics although the Council will, I am sure, be pleased with this level of complaints.

# Liaison with the Local Government Ombudsman

I ask all authorities to respond to my initial enquiries within 28 calendar days. The one response sent to me by the Council during the year took 50 days to reach me. I would never seek to pass comment on a sample of one but I would simply ask the Council to seek to ensure that it responds to my enquiries as soon as possible.

#### **Decisions on complaints**

# Reports and local settlements

We will often discontinue enquires into a complaint when a council takes or agrees to take action that we consider to be a satisfactory response – we call these local settlements. In 2007/08 the Local Government Ombudsmen determined 27% of complaints by local settlement (excluding 'premature' complaints - where councils have not had a proper chance to deal with them - and those outside our jurisdiction). If an investigation is completed I issue a public report.

I issued one report against the Council during the year, a complaint which concerned the way in which the Council licensed and inspected a zoo. Although I found some evidence of maladministration by the Council in terms of its record keeping I found no evidence that this had caused any harm to the animal welfare.

# Other findings

The only complaint determined during the year was on the basis that it had been sent to me prematurely.

# Your Council's complaints procedure and handling of complaints

Nothing arose during the year to suggest to me that there are any problems with the way in which the Council handles complaints made to it by members of the public.

## Training in complaint handling

Part of our role is to provide advice and guidance about good administrative practice. We offer training courses for all levels of local authority staff in complaints handling and investigation. A detailed evaluation of the training provided to councils over the past three years shows very high levels of

satisfaction. We will customise courses to meet your Council's specific requirements and provide courses for groups of staff from different smaller authorities. Participants benefit from the complaint-handling knowledge and expertise of the experienced investigators who present the courses.

I enclose information on the full range of courses available together with contact details for enquiries and any further bookings.

# LGO developments

We launched the LGO Advice Team in April, providing a first contact service for all enquirers and new complainants. Demand for the service has been high. Our team of advisers, trained to provide comprehensive information and advice, has dealt with many thousands of calls since the service started.

The team handles complaints submitted by telephone, email or text, as well as in writing. This new power to accept complaints other than in writing was one of the provisions of the Local Government and Public Involvement in Health Act, which also came into force in April. Our experience of implementing other provisions in the Act, such as complaints about service failure and apparent maladministration, is being kept under review and will be subject to further discussion. Any feedback from your Council would be welcome.

Last year we published two special reports providing advice and guidance on 'applications for prior approval of telecommunications masts' and 'citizen redress in local partnerships'. Feedback on special reports is always welcome. I would particularly appreciate information on complaints protocols in the governance arrangements of partnerships with which your Council is involved.

## Conclusions and general observations

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Anne Seex Local Government Ombudsman Beverley House 17 Shipton Road YORK YO30 5FZ

**June 2008** 

Enc: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

| Complaints received by subject area | Other | Planning & building control | Public<br>finance | Total |
|-------------------------------------|-------|-----------------------------|-------------------|-------|
| 01/04/2007 -                        | 1     | 1                           | 0                 | 2     |
| 31/03/2008<br>2006 / 2007           | 0     | 5                           | 0                 | 5     |
| 2005 / 2006                         | 3     | 8                           | 1                 | 12    |

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

| Decisions               | MI reps | LS | M reps | NM reps | No mal | Omb disc | Outside<br>jurisdiction | Premature complaints | Total excl<br>premature | Total |
|-------------------------|---------|----|--------|---------|--------|----------|-------------------------|----------------------|-------------------------|-------|
| 01/04/2007 - 31/03/2008 | 0       | 0  | 1      | 0       | 0      | 0        | 0                       | 1                    | 1                       | 2     |
| 2006 / 2007             | 0       | 0  | 0      | 0       | 2      | 2        | 1                       | 2                    | 5                       | 7     |
| 2005 / 2006             | 0       | 2  | 0      | 0       | 3      | 3        | 1                       | 1                    | 9                       | 10    |

See attached notes for an explanation of the headings in this table.

|                         | FIRST ENQUIRIES           |                               |  |  |  |
|-------------------------|---------------------------|-------------------------------|--|--|--|
| Response times          | No. of First<br>Enquiries | Avg no. of days<br>to respond |  |  |  |
| 01/04/2007 - 31/03/2008 | 1                         | 50.0                          |  |  |  |
| 2006 / 2007             | 1                         | 61.0                          |  |  |  |
| 2005 / 2006             | 11                        | 19.9                          |  |  |  |

# Average local authority response times 01/04/2007 to 31/03/2008

| Types of authority        | <= 28 days | 29 - 35 days | > = 36 days |
|---------------------------|------------|--------------|-------------|
|                           | %          | %            | %           |
| District Councils         | 56.4       | 24.6         | 19.1        |
| Unitary Authorities       | 41.3       | 50.0         | 8.7         |
| Metropolitan Authorities  | 58.3       | 30.6         | 11.1        |
| County Councils           | 47.1       | 38.2         | 14.7        |
| London Boroughs           | 45.5       | 27.3         | 27.3        |
| National Park Authorities | 71.4       | 28.6         | 0.0         |

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