

The Local Government Ombudsman's Annual Letter Fareham Borough Council for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

Annual Letter 2006/07 - Introduction

The aim of the annual letter is to provide a summary of information on the complaints about your authority that we have received and try to draw any lessons learned about the authority's performance and complaint-handling arrangements. These might then be fed back into service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

There are two attachments which form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

Complaints received

Volume

The number of complaints I received against your Council reduced from 19 to nine, and close to the number of received in 2004/05.

Character

Complaints about planning and building control reduced from 11 to three, and complaints about housing reduced from four to one. The remainder of the complaints spanned a number of service areas.

Decisions on complaints

Reports and local settlements

We use the term 'local settlement' to describe the outcome of a complaint where, during the course of our investigation, the Council takes, or agrees to take, some action which we consider is a satisfactory response to the complaint and the investigation does not need to be completed. These form a significant proportion of the complaints we determine. When we complete an investigation we must issue a report.

During the year I made eight decisions on complaints against your Council. Of these, five were not upheld because there was insufficient evidence of administrative fault or significant injustice. The remainder were referred back to the Council as it appeared you had not had sufficient opportunity to consider them through your own complaints procedure. I did not therefore need to issue a report or to ask the Council to consider settling any complaint locally.

Other findings

One complaint determined this year was about a matter outside my jurisdiction.

Your Council's complaints procedure and handling of complaints

The low number of complaints referred back to the Council to deal with under its own complaints procedure may be indication that the Council's own complaints procedure is well-publicised and operating effectively. The Council's website contains clear information about the complaint procedure as well as links to information about my office.

We also received one complaint which had previously been referred back to your office, and that complaint was not subsequently upheld. All this indicates that the Council's complaint-handling systems work well.

Training in complaint handling

As part of our role to provide advice in good administrative practice, we offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from courses that have been delivered over the past two and a half years is very positive.

The range of courses is expanding in response to demand. We offer generic courses in Good Complaint Handing (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution). We can run open courses for groups of staff from smaller authorities and also customise courses to meet your Council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

Liaison with the Local Government Ombudsman

My staff made enquiries of your Council on three cases during the year. I am pleased to note that the average response time was 25.7 days, within the target response time of 28 days. Thank you for all your efforts in this area.

When I wrote last year I reminded the Council about the annual link officer seminar which your staff might find useful. Please contact Ms Vereena Jones, Assistant Ombudsman for your area, if you would like more details about this event, which will be run in November 2007.

LGO developments

I thought it would be helpful to update you on a project we are implementing to improve the first contact that people have with us as part of our customer focus initiative. We are developing a new Access and Advice Service that will provide a gateway to our services for all complainants and enquirers. It will be mainly telephone-based but will also deal with email, text and letter correspondence. As the project progresses we will keep you informed about developments and expected timescales.

Changes brought about by the Local Government Bill are also expected to impact on the way that we work and again we will keep you informed as relevant.

We have just issued a special report that draws on our experience of dealing with complaints about planning applications for phone masts considered under the prior approval system, which can be highly controversial. We recommend simple measures that councils can adopt to minimise the problems that can occur.

A further special report will be published in July focusing on the difficulties that can be encountered when complaints are received by local authorities about services delivered through a partnership. *Local partnerships and citizen redress* sets out our advice and guidance on how these problems can be overcome by adopting good governance arrangements that include an effective complaints protocol.

Conclusions and general observations

I welcome this opportunity to give you my reflections about the complaints my office has dealt with over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

J R White Local Government Ombudsman The Oaks No 2 Westwood Way Westwood Business Park Coventry CV4 8JB

June 2007

Enc: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

Complaints received by subject area	Benefits	Housing	Other	Planning & building control	Transport and highways	Total
01/04/2006 - 31/03/2007	0	1	5	3	0	9
2005 / 2006	1	4	3	11	0	19
2004 / 2005	0	0	2	3	3	8

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/04/2006 - 31/03/2007	0	0	0	0	2	2	1	3	5	8
2005 / 2006	0	2	0	0	8	1	3	6	14	20
2004 / 2005	0	2	0	0	3	0	0	2	5	7

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2006 - 31/03/2007	3	25.7			
2005 / 2006	6	25.8			
2004 / 2005	5	17.8			

Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0

Printed: 11/05/2007 14:30