

The Local Government Ombudsman's Annual Letter to Chester-le-Street District Council for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

#### Annual Letter 2006/07 - Introduction

This annual letter provides a summary of the complaints we have received about your authority. Where possible, we comment on the authority's performance and complaint-handling arrangements to assist with your service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

Two attachments form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

#### Complaints received

#### Volume & Character

The level of incoming complaints remained constant at 14. The distribution of complaints between departmental areas gives me no cause for concern and there is little I can add of value, given these statistics.

#### **Decisions on complaints**

## Reports and local settlements

A 'local settlement' is a complaint that is resolved by the Council taking, or agreeing to take, action which we consider is a satisfactory response to the complaint so that the investigation can be discontinued. In 2006/07 27.7% of complaints dealt with by the three Local Government Ombudsmen (excluding premature and those outside jurisdiction) were resolved by local settlement. When we complete an investigation we must issue a report.

I have not issued any reports against the Council during the year. Three complaints merited my staff requesting and receiving some form of action to settle complaints.

#### Other findings

Of the remainder, two were premature – ie the Council had not itself been first given a fair opportunity to investigate and respond. One complaint was outside of my jurisdiction.

#### Liaison with the Local Government Ombudsman

Enquiries were made on four complaints and responses were received on average in less than 19 days, well within the 28 deadline that we impose.

Working relations between our offices remain good although the level of interaction in obviously very low given the statistics.

# Your Council's complaints procedure and handling of complaints

There have been no indications of problems with the way the Council deals with complaints from the public. During the year the Council has had training on effective complaint handling for 15 officers, provided by one of my staff. The response was very positive.

#### LGO developments

You may be interested in the development of our initiative to improve the first contact that people have with us. A new Access and Advice Service will provide a gateway to our services for all complainants and enquirers. It will encourage telephone contact but will also deal with email, text and letter correspondence. We will let you have further details about how it will operate and the expected timescales and discuss with you the implications for the Council.

I hope you have received our latest special report about telecommunications masts. It draws on our experience of dealing with complaints about planning applications for masts which can be highly controversial. We recommend simple measures that councils can adopt to minimise the chances of maladministration occurring.

In July we will be publishing a special report about the difficulties that can be encountered with complaints when local authorities deliver services or discharge their functions through partnerships. *Local partnerships and citizen* redress provides advice and guidance on how these problems can be overcome by good governance arrangements that include an effective complaints protocol.

## **Conclusions and general observations**

I welcome this opportunity to comment on our experience of complaints about the Council over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Anne Seex Local Government Ombudsman Beverley House 17 Shipton Road York YO30 5FZ

June 2007

Enc: Statistical data

Note on interpretation of statistics

Leaflet on training courses (with posted copy only)

Complaints received by subject area	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
01/04/2006 - 31/03/2007	3	4	5	1	1	14
2005 / 2006	6	4	2	2	0	14
2004 / 2005	4	3	5	0	0	12

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions	MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
01/04/2006 - 31/03/2007	0	3	0	0	2	3	1	2	9	11
2005 / 2006	0	2	0	0	3	2	1	5	8	13
2004 / 2005	0	1	0	0	5	4	2	4	12	16

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES				
Response times	No. of First Enquiries	Avg no. of days to respond			
01/04/2006 - 31/03/2007	4	18.8			
2005 / 2006	5	29.0			
2004 / 2005	4	23.8			

# Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days	
	%	%	%	
District Councils	48.9	23.4	27.7	
Unitary Authorities	30.4	37.0	32.6	
Metropolitan Authorities	38.9	41.7	19.4	
County Councils	47.1	32.3	20.6	
London Boroughs	39.4	33.3	27.3	
National Park Authorities	66.7	33.3	0.0	

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