

Commission report

Commission Report

Sheet: Summary

Period: 01/2009 To 07/2009

Month: 01 April 2009 To 31 October 2009

LGO: 1744

Local Government
OMBUDSMAN

The 31st October BCR shows the virement adjustments the Chairman has approved following agreement to undertake substantial capital works in a number of areas. This has had the effect of reducing many of the variants embedded within the current budget outturn.

Budget holders will need to carefully monitor those areas which have been subject to budget changes to ensure they remain sustainable for the balance of the year. Those budget holders with significant project spends will be expected to report their own separate schemes on those specified activities as requested by the Chairman and as provided for in my note to budget holders when the BCR was circulated.

Please remember that approval of the virements does not provide a consent to spend, merely that provision has been provided. The normal rules of procurement must be adhered to in all respects.

The realignment of the budgets and their allocation is now showing that providing all the programmes deliver the Commission ought to be able to deploy all its resources in 2009/10.

Discussions with the Department of Health and DCSF may affect this forecast and these will need to be revisited and incorporated when those fundings are firmed up in January 2010.

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		October period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	674,258	401,837	442,046	-	442,046	40,209	706,628	32,370	32,358	32,034	(324)	54,433
Government grant	14,910,886	7,026,908	7,217,468	-	7,217,468	190,560	14,230,042	(680,844)	877,415	1,015,419	138,004	1,050,000
Total income	£ 15,585,144	£ 7,428,745	£ 7,659,514	-	£ 7,659,514	£ 230,769	£ 14,936,670	(£ 648,474)	£ 909,773	£ 1,047,453	£ 137,680	£ 1,104,433
Total staffing costs	10,426,629	5,665,690	5,787,376	96,463	5,883,839	(218,149)	10,062,748	363,881	673,103	1,122,922	(449,819)	757,566
Total professional costs	864,681	364,432	280,266	48,525	328,791	35,641	697,297	167,384	80,800	51,025	29,775	50,440
Total accommodation costs	3,131,860	1,287,886	1,252,603	89,186	1,341,789	(53,903)	2,856,942	274,918	61,050	54,604	6,446	150,934
Total office expenses	1,238,411	569,562	463,297	218,470	681,767	(112,205)	1,045,565	192,846	144,426	68,926	75,500	72,595
Total meeting & travel costs	180,520	94,591	83,959	19,126	103,085	(8,494)	176,717	3,803	22,667	12,820	9,847	19,900
Total expenses	£ 15,842,101	£ 7,982,161	£ 7,867,501	£ 471,770	£ 8,339,271	(£ 357,110)	£ 14,839,269	£ 1,002,832	£ 982,046	£ 1,310,297	(£ 328,251)	£ 1,051,435
Net surplus / (Deficit)	(£ 256,957)	(£ 553,416)	(£ 207,987)	(£ 471,770)	(£ 679,757)	(£ 126,341)	£ 97,401	£ 354,358	(£ 72,273)	(£ 262,844)	(£ 190,571)	£ 52,998
Transfers	(£ 177,982)	(£ 103,822)	-	-	-	(£ 103,822)	(£ 177,982)	-	-	-	-	-
Net After Transfers	(£ 78,975)	(£ 449,594)	(£ 207,987)	(£ 471,770)	(£ 679,757)	(£ 230,163)	£ 275,383	£ 354,358	-	-	-	-

Commission report

Commission Report

Sheet: Corporate Services
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

Staffing: The projected overspend in staffing costs is due to an over-projection of training commitments. This budget may overspend but not by such a large margin (around £5K)

Professional Costs: The projected overspend in professional costs is an overprojection of the spend on HR and other consultancy. It is not expected to be overspent by the end of the year.

Office Expenses: There is also an over-projection on travel expenditure.

Overall: Following the mid-year revisions, I do not expect the Corporate Services budget to significantly over/underspend by the end of the year.

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date					Anticipated full year		period			
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	142,476	71,636	74,810	-	74,810	3,174	154,490	12,014	14,757	14,554	(203)	20,185
Government grant	12,950,000	6,799,245	6,983,060	-	6,983,060	183,815	12,950,000	-	839,219	949,497	110,278	1,050,000
Total income	£ 13,092,476	£ 6,870,881	£ 7,057,870	-	£ 7,057,870	£ 186,989	£ 13,104,490	£ 12,014	£ 853,976	£ 964,051	£ 110,075	£ 1,070,185
Total staffing costs	2,286,690	1,055,653	977,914	23,375	1,001,289	54,364	2,299,635	(12,945)	149,386	159,768	(10,382)	137,373
Total professional costs	398,219	164,162	136,049	30,366	166,415	(2,253)	418,938	(20,719)	48,421	24,624	23,797	15,619
Total accommodation costs	126,230	10,875	11,997	146	12,143	(1,268)	128,817	(2,587)	1,471	1,470	1	1,203
Total office expenses	824,365	366,220	276,539	189,568	466,107	(99,887)	697,609	126,756	111,660	37,182	74,478	25,898
Total meeting & travel costs	38,145	17,392	19,621	3,813	23,434	(6,042)	40,173	(2,028)	2,150	3,512	(1,362)	11,353
Total expenses	£ 3,673,649	£ 1,614,302	£ 1,422,120	£ 247,268	£ 1,669,388	(£ 55,086)	£ 3,585,172	£ 88,477	£ 313,088	£ 226,556	£ 86,532	£ 191,446
Inter-team transfers	(444,470)	(177,672)	(85,860)	-	(85,860)	(91,812)	(164,840)	(279,630)	(101,831)	(50,769)	(51,062)	4
Net surplus / (Deficit)	£ 9,863,297	£ 5,434,251	£ 5,721,610	(£ 247,268)	£ 5,474,342	£ 40,091	£ 9,684,158	(£ 179,139)	£ 642,719	£ 788,264	£ 145,545	£ 878,735

Commission report

Commission Report

Sheet: London office
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

<p>Accommodation:</p> <p>Proposals for 11th Floor Accommodation have been finalised and a budget has been allocated to the Capital Projects line.</p>
<p>Stationery:</p> <p>This budget head has been overspending we have changed our supplier and will be making further cost savings.</p>
<p>Legal Advice:</p> <p>We are under spending but outturn can not easily be predicted</p>
<p>Miscoding Issues:</p> <p>The Facilities Manager along with Finance has started to identify charges that have been miscoded to London Office. This is mainly in the General Meeting and Travel and the General Stores Budget Lines where charges should be coded to the new service areas or Corporate Services. We have already resolved the previous problem in Other Consultancy.</p>

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	230,806	142,036	154,338	-	154,338	12,302	230,802	(4)	5,867	5,867	-	13,345
Government grant	-	-	-	-	-	-	-	-	-	-	-	-
Total income	£ 230,806	£ 142,036	£ 154,338	-	£ 154,338	£ 12,302	£ 230,802	(£ 4)	£ 5,867	£ 5,867	-	£ 13,345
Total staffing costs	2,519,175	1,476,166	1,492,547	39,747	1,532,294	(56,128)	2,503,490	15,685	168,075	216,249	(48,174)	211,141
Total professional costs	99,500	55,944	33,518	3,022	36,540	19,404	62,640	36,860	3,712	2,060	1,652	15,498
Total accommodation costs	1,464,615	792,415	771,857	66,515	838,372	(45,957)	1,446,896	17,719	24,572	22,621	1,951	93,726
Total office expenses	145,711	82,236	79,002	10,734	89,736	(7,500)	153,501	(7,790)	11,900	13,006	(1,106)	23,189
Total meeting & travel costs	16,321	8,511	11,930	2,113	14,043	(5,532)	24,074	(7,753)	1,970	2,292	(322)	1,466
Total expenses	£ 4,245,322	£ 2,415,272	£ 2,388,854	£ 122,131	£ 2,510,985	(£ 95,713)	£ 4,190,601	£ 54,721	£ 210,229	£ 256,228	(£ 45,999)	£ 345,020
Inter-team transfers	(727,573)	(452,722)	(456,569)	-	(456,569)	3,847	(825,110)	97,537	(17,677)	(26,964)	9,287	4
Net surplus / (Deficit)	(£ 3,286,943)	(£ 1,820,514)	(£ 1,777,947)	(£ 122,131)	(£ 1,900,078)	(£ 79,564)	(£ 3,134,689)	£ 152,254	(£ 186,685)	(£ 223,397)	(£ 36,712)	(£ 331,679)

Commission report

Commission Report

Sheet: York office
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

The York budget appears to be in reasonably good shape with a projected under spend of £125,000 signalling a spend of 94% of the agreed full year budget.

There are no heads of the budget which are causing undue alarm with the possible exception of the Litigation budget which is currently projected to be overspent by £14,800 against an agreed budget of £12,300. The reason for this is that a number of Judicial Review applications have been made this year. We have however been awarded costs of £4,000 in one case. The Legal Advice Budget [£36,000] is projected to be under spent by nearly £24,000 and so virement from this budget to the Litigation budget would be desirable.

Planned Maintenance, postage, office stationery are all predicted to overspend, mainly due to the profiling. Adjustments to postage for training costs will occur next month. Office stationery, better monitoring of stock is to be introduced to control this expenditure.

General Stores is showing as overspent by £2381 against a budget of £4,269. There has been some unforeseen expenditure such as , the dehumidifiers bought for the office but we believe that a profiling issue leads to the budget showing as overspent at year end. We do anticipate that this budget will be overspent.

Building Work

At the time of writing, tenders for the work needed in Beverley House to accommodate the new jurisdiction teams have been received and are currently being considered. These range from £47,000 to £75,000 excluding VAT with three tenders all at or around £63,000. All these quotes fall well within the overall budget agreed for capital work of £105,000.

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	70,404	41,069	48,267	-	48,267	7,198	82,743	12,339	-	5,747	5,747	-
Government grant	-	-	-	-	-	-	-	-	-	-	-	-
Total income	£ 70,404	£ 41,069	£ 48,267	-	£ 48,267	£ 7,198	£ 82,743	£ 12,339	-	£ 5,747	£ 5,747	-
Total staffing costs	2,307,717	1,330,732	1,572,643	7,538	1,580,181	(249,449)	2,255,861	51,856	88,381	459,274	(370,893)	198,838
Total professional costs	65,920	33,089	21,321	5,230	26,551	6,538	45,516	20,404	2,167	5,251	(3,084)	5,871
Total accommodation costs	334,080	145,649	134,199	15,923	150,122	(4,473)	334,142	(62)	10,878	8,197	2,681	16,717
Total office expenses	80,428	47,690	51,696	1,601	53,297	(5,607)	88,407	(7,979)	5,313	9,885	(4,572)	7,602
Total meeting & travel costs	57,782	30,617	25,991	4,950	30,941	(324)	53,042	4,740	5,433	3,116	2,317	3,528
Total expenses	£ 2,845,927	£ 1,587,777	£ 1,805,850	£ 35,242	£ 1,841,092	(£ 253,315)	£ 2,776,968	£ 68,959	£ 112,172	£ 485,723	(£ 373,551)	£ 232,556
Inter-team transfers	165,215	67,297	52,926	-	52,926	14,370	74,551	90,664	24,321	7,600	16,720	3
Net surplus / (Deficit)	(£ 2,940,738)	(£ 1,614,005)	(£ 1,810,509)	(£ 35,242)	(£ 1,845,751)	(£ 231,747)	(£ 2,768,776)	£ 171,962	(£ 136,493)	(£ 487,576)	(£ 351,084)	(£ 232,559)

Commission report

Commission Report

Sheet: Coventry office
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

Total staffing costs.
 Expenditure is now on target following the transfer of the anticipated underspend to capital projects.

2. Total professional costs
 As ever, legal expenditure is irregular and difficult to predict; at present the anticipated significant underspend on legal advice is balanced by an anticipated overspend on litigation. Total professional expenditure is currently heading for a small overspend.

3. Total accommodation costs
 A sum has been included within capital projects for moving LGOAT - either to the first floor or into the sub tenant's recently released area – together with other changes required for the New Jurisdictions. The necessary negotiations to take back the sub tenant's area are going well but not surprisingly have resulted in over expenditure on the original budget head for 'estate professional fees' which was set, before the opportunity arose, at only £5,000. Overall, however, expenditure within this heading is on target.

4. Total office expenses
 Some savings are likely on furniture and equipment purchase because the planned refurbishment of one team is now subsumed within the capital project described above.

5. Total meeting and travel costs
 Expenditure is on target.

6. After seven months anticipated total year end expenditure is 95% of budget.

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	230,572	147,096	164,631	-	164,631	17,535	238,594	8,022	5,867	5,867	-	20,903
Government grant	-	-	-	-	-	-	-	-	-	-	-	-
Total income	£ 230,572	£ 147,096	£ 164,631	-	£ 164,631	£ 17,535	£ 238,594	£ 8,022	£ 5,867	£ 5,867	-	£ 20,903
Total staffing costs	2,159,884	1,252,613	1,272,067	6,099	1,278,166	(25,553)	2,154,223	5,661	102,456	202,998	(100,542)	178,676
Total professional costs	109,384	50,998	59,827	5,488	65,315	(14,317)	111,969	(2,585)	11,420	16,346	(4,926)	12,263
Total accommodation costs	880,616	294,011	289,915	6,602	296,517	(2,506)	870,571	10,045	16,852	16,040	812	33,128
Total office expenses	120,256	69,965	53,956	15,299	69,255	710	100,268	19,988	12,103	7,549	4,554	14,691
Total meeting & travel costs	54,594	29,703	23,622	6,251	29,873	(170)	51,211	3,383	5,209	3,121	2,088	3,181
Total expenses	£ 3,324,734	£ 1,697,290	£ 1,699,387	£ 39,739	£ 1,739,126	(£ 41,836)	£ 3,288,242	£ 36,492	£ 148,040	£ 246,054	(£ 98,014)	£ 241,939
Inter-team transfers	63,994	5,184	(20,052)	-	(20,052)	25,236	(60,787)	124,781	16,995	(1,728)	18,723	3
Net surplus / (Deficit)	(£ 3,158,156)	(£ 1,555,378)	(£ 1,514,704)	(£ 39,739)	(£ 1,554,443)	£ 935	(£ 2,988,861)	£ 169,295	(£ 159,168)	(£ 238,459)	(£ 79,291)	(£ 221,039)

Commission report

Commission Report

Sheet: LGO Advice Team
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

<p>1. Total staffing costs.</p> <p>Expenditure is now on target following the transfer of the anticipated underspend to capital projects</p>
<p>2. Total professional, meeting and travel costs</p> <p>These budget heads combined amount to only £1,600. There will be a small overspend at the end of the year.</p>
<p>3. Total accommodation and office costs</p> <p>All accommodation and office costs for the Coventry building are now shown in the Coventry BCR. The appropriate division of costs is made through inter team charging.</p>

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date					Anticipated full year		period			
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	-	-	-	-	-	-	-	-	-	-	-	-
Government grant	-	-	-	-	-	-	-	-	-	-	-	-
Total income	-	-	-	-	-	-	-	-	-	-	-	-
Total staffing costs	411,786	236,599	233,750	-	233,750	2,849	406,981	4,805	33,035	32,177	858	31,538
Total professional costs	790	371	224	-	224	147	384	406	80	-	80	1,190
Total accommodation costs	75,319	43,936	43,936	-	43,936	-	75,319	-	6,277	6,277	-	6,160
Total office expenses	-	-	350	(596)	(246)	246	(420)	420	-	25	(25)	1,215
Total meeting & travel costs	823	513	823	263	1,086	(573)	1,863	(1,040)	50	58	(8)	373
Total expenses	£ 488,718	£ 281,419	£ 279,083	(£ 333)	£ 278,750	£ 2,669	£ 484,127	£ 4,591	£ 39,442	£ 38,537	£ 905	£ 40,476
Inter-team transfers	66,908	31,628	80,950	-	80,950	(49,322)	63,452	3,456	8,265	10,631	(2,366)	4
Net surplus / (Deficit)	(£ 555,626)	(£ 313,047)	(£ 360,033)	£ 333	(£ 359,700)	(£ 46,653)	(£ 547,579)	£ 8,047	(£ 47,707)	(£ 49,168)	(£ 1,461)	(£ 40,480)

Commission report

Commission Report

Sheet: Adult Self Funders
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN



Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	-	-	-	-	-	-	-	-	-	-	-	-
Government grant	497,000	-	-	-	-	-	309,351	(187,649)	-	-	-	-
Total income	£ 497,000	-	-	-	-	-	£ 309,351	(£ 187,649)	-	-	-	-
Total staffing costs	139,000	57,750	14,388	-	14,388	43,362	24,665	114,335	44,250	3,118	41,132	-
Total professional costs	78,000	22,000	12,109	22,028	34,137	(12,137)	58,520	19,480	-	113	(113)	-
Total accommodation costs	50,000	-	-	-	-	-	-	50,000	-	-	-	-
Total office expenses	1,000	200	169	1,052	1,221	(1,021)	2,093	(1,093)	200	169	31	-
Total meeting & travel costs	10,000	5,000	1,127	1,360	2,487	2,513	4,264	5,736	5,000	380	4,620	-
Total expenses	£ 278,000	£ 84,950	£ 27,793	£ 24,440	£ 52,233	£ 32,717	£ 89,542	£ 188,458	£ 49,450	£ 3,780	£ 45,670	-
Inter-team transfers	219,809	128,219	128,222	-	128,222	(3)	219,809	-	18,317	18,317	-	1
Net surplus / (Deficit)	(£ 809)	(£ 213,169)	(£ 156,015)	(£ 24,440)	(£ 180,455)	£ 32,714	-	(£ 809)	(£ 67,767)	(£ 22,097)	£ 45,670	£ 1

Commission report

Commission Report

Sheet: Health Social Care
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

Following meeting with the Department, we are awaiting their response for confirmation of funding for 2010/11. Hopefully this should be confirmed by the end of November.

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	-	-	-	-	-	-	-	-	-	-	-	-
Government grant	730,886	227,663	234,408	-	234,408	6,745	662,998	(67,888)	38,196	65,922	27,726	-
Total income	£ 730,886	£ 227,663	£ 234,408	-	£ 234,408	£ 6,745	£ 662,998	(£ 67,888)	£ 38,196	£ 65,922	£ 27,726	-
Total staffing costs	453,776	216,176	218,025	19,705	237,730	(21,554)	407,536	46,240	47,520	47,716	(196)	-
Total professional costs	29,868	5,868	4,732	-	4,732	1,136	8,111	21,757	5,000	1,838	3,162	-
Total accommodation costs	1,000	1,000	698	-	698	302	1,197	(197)	1,000	-	1,000	-
Total office expenses	3,250	3,250	1,585	1,052	2,637	613	4,520	(1,270)	3,250	1,111	2,139	-
Total meeting & travel costs	2,855	2,855	612	58	670	2,185	1,147	1,708	2,855	178	2,677	-
Total expenses	£ 490,749	£ 229,149	£ 225,652	£ 20,815	£ 246,467	(£ 17,318)	£ 422,511	£ 68,238	£ 59,625	£ 50,843	£ 8,782	-
Inter-team transfers	240,136	140,077	140,079	-	140,079	(2)	240,136	-	20,011	20,011	-	1
Net surplus / (Deficit)	(£ 1)	(£ 141,563)	(£ 131,323)	(£ 20,815)	(£ 152,138)	(£ 10,575)	£ 351	£ 350	(£ 41,440)	(£ 4,932)	£ 36,508	£ 1

Commission report

Commission Report

Sheet: Schools
 Period: 01/2009 To 07/2009
 Month: 01 April 2009 To 31 October 2009
 LGO: 1744

Local Government
OMBUDSMAN

Significant costs have now been incurred on staffing costs with the commencement of the recruitment process for case managers/investigators, SSM/Sis and Aos. The estimate of these costs is £120K

We are also in the process of committing to the fitting out costs of the ground floor of the Coventry Office; our need to take on this space is directly linked to the schools project as the number of complaints is anticipated to exceed scenario 1 (1000) as well as the extra demands on the LGO advice centre. The estimated costs of this work are £225,755

The DCSF grant that was previously agreed was £470K; the higher grant figure (£730.886) was never agreed and is no longer anticipated. Whilst the Ccssn could justify expenditure at the higher level if recharges from the CLG funded budget are taken into account, DCSF have indicated that they can only fund £470K and only if this is for expenditure incurred following the Royal Assent. But they have agreed that they will pay additional funds in respect of SEN cases and have provisionally said that our estimate of £92,313K is acceptable

Adverse amounts are shown in brackets

Description	Full year revised budget	Year to date				Anticipated full year		period				
		Budget	Actual	Committed	Total	Variance	Amount	Variance	Budget	Actual	Variance	Last yr actual
Other revenue	-	-	-	-	-	-	-	-	-	-	-	-
Government grant	733,000	-	-	-	-	-	307,693	(425,307)	-	-	-	-
Total income	£ 733,000	-	-	-	-	-	£ 307,693	(£ 425,307)	-	-	-	-
Total staffing costs	148,600	40,000	6,042	-	6,042	33,958	10,357	138,243	40,000	1,623	38,377	-
Total professional costs	83,000	32,000	12,487	(17,609)	(5,122)	37,122	(8,780)	91,780	10,000	793	9,207	-
Total accommodation costs	200,000	-	-	-	-	-	-	200,000	-	-	-	-
Total office expenses	63,400	-	-	-	-	-	-	63,400	-	-	-	-
Total meeting & travel costs	-	-	232	188	420	(420)	720	(720)	-	165	(165)	-
Total expenses	£ 495,000	£ 72,000	£ 18,761	(£ 17,421)	£ 1,340	£ 70,660	£ 2,297	£ 492,703	£ 50,000	£ 2,581	£ 47,419	-
Inter-team transfers	238,000	154,168	160,304	-	160,304	(6,136)	274,807	(36,807)	16,767	22,901	(6,134)	-
Net surplus / (Deficit)	-	(£ 226,168)	(£ 179,065)	£ 17,421	(£ 161,644)	£ 64,524	£ 30,589	£ 30,589	(£ 66,767)	(£ 25,482)	£ 41,285	-